



REQUEST FOR TRANSFER OR REISSUANCE OF APPROVAL OR GRANDFATHERING REGISTRATION

The following request for transfer or reissuance is submitted to the Susquehanna River Basin Commission (Commission) as a result of a change in ownership or name change.

Project Information	
Approval Type and Number ¹ :	
Facility/Pad/Withdrawal Name:	
Municipality:	
County, State:	
Previous Project Sponsor Name:	
New Project Sponsor Name:	
Effective Date of Change (day, month, year):	
¹ Docket, Approval by Rule, Grandfathering Registration, Source 13 Approval [per § 806.22(f)(13)], etc. Please attach a list for more than one approval.	

The undersigned hereby requests that the approval(s) and/or grandfathering registration(s) listed above be transferred or reissued pursuant to the following applicable subsection under 18 CFR § 806.6 (please check one):

Request for transfer § 806.6(a).

Where appropriate, transfers will be conditioned on:

1. Submission of separate applications for unapproved or grandfathered diversions, withdrawals, and/or consumptive uses, on a schedule established by Commission staff;
2. For approvals greater than ten (10) years old, the submission of an updated metering and monitoring plan consistent with § 806.30; and
3. Forfeiture of pre-Compact consumptive use mitigation quantities.

Request for reissuance due to a name change that does not affect ownership or control of the project per § 806.6(c).

All requests must be submitted within ninety (90) days from the date of the transfer or change of name. Certain corporate reorganizations and transfers of ownership are exempted under § 806.4(b). Project sponsors claiming this exemption from submission of applications under § 806.4(b) must include a summary explanation of how the transfer meets the appropriate criteria.

The appropriate fee, as specified in the current Commission's Regulatory Program Fee Schedule in effect at the time of submission of this form, will be determined during review. Notification of the appropriate fee will be provided to the project sponsor. The request for transfer or reissuance will not be finalized until the appropriate fee has been paid.

Upon reissuance or transfer of the approval, if not already subject to the Annual Compliance and Monitoring fee (ACMF), the facility will be subject to the ACMF. An invoice will be issued and payment for the fee will be due within thirty (30) days of invoice date.

Please note that the new project sponsor must operate the project subject to the same terms and conditions of the existing approval. If the new project sponsor seeks to modify any terms and conditions of the existing approval, a separate application to the Commission for modification must be submitted and Commission approval obtained prior to making changes.

I, the undersigned, hereby certify that I am a legal representative of the project sponsor submitting this request and that I have the authority to do so.

I understand that failure to comply with the terms and conditions of the approval(s), and all applicable requirements of § 806.6, including all submissions required thereunder, may subject the project sponsor to enforcement action in accordance with Part 808 of Commission regulations.

I further understand that this request and any related submissions are subject to the provisions of 18 Pa.C.S. § 4904, Section 210.45 of the New York Penal Law, Section 9-101 Maryland Crimes Code and 28 U.S.C. § 1746, whichever is applicable, relating to perjury or unsworn falsification to authorities.

Signature: _____ Date: _____

Printed Name: _____

Title and Company: _____

For transfers, acknowledgement of **previous** project sponsor is required:

I hereby acknowledge that ownership of the project subject to this request was transferred on the date specified herein to the project sponsor making this request.

I further understand that this request and any related submissions are subject to the provisions of 18 Pa.C.S. § 4904, Section 210.45 of the New York Penal Law, Section 9-101 Maryland Crimes Code and 28 U.S.C. § 1746, whichever is applicable, relating to perjury or unsworn falsification to authorities.

Signature: _____ Date: _____

Printed Name: _____

Title and Company: _____

Questions related to this request should be directed to:

Name: _____

Phone: _____ E-mail: _____

Title and Company: _____